



Evaluating nanogaps in Ag and Au nanoparticle clusters for SERS applications using COMSOL Multiphysics®

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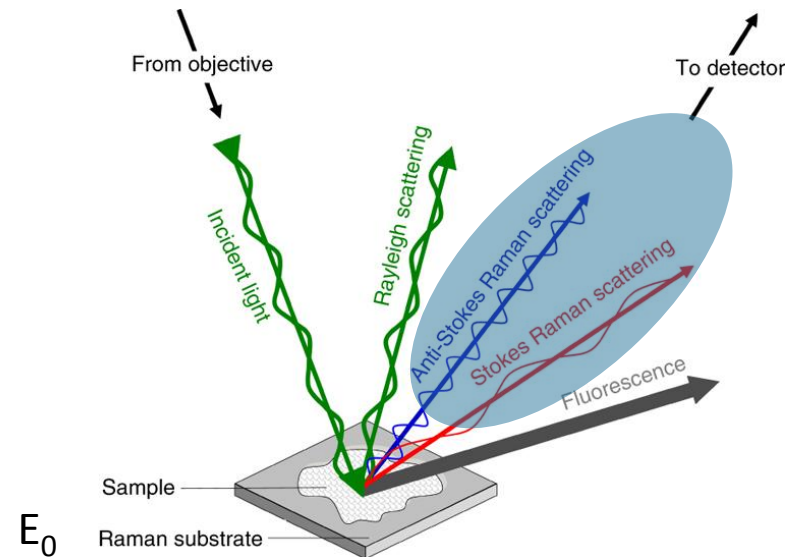
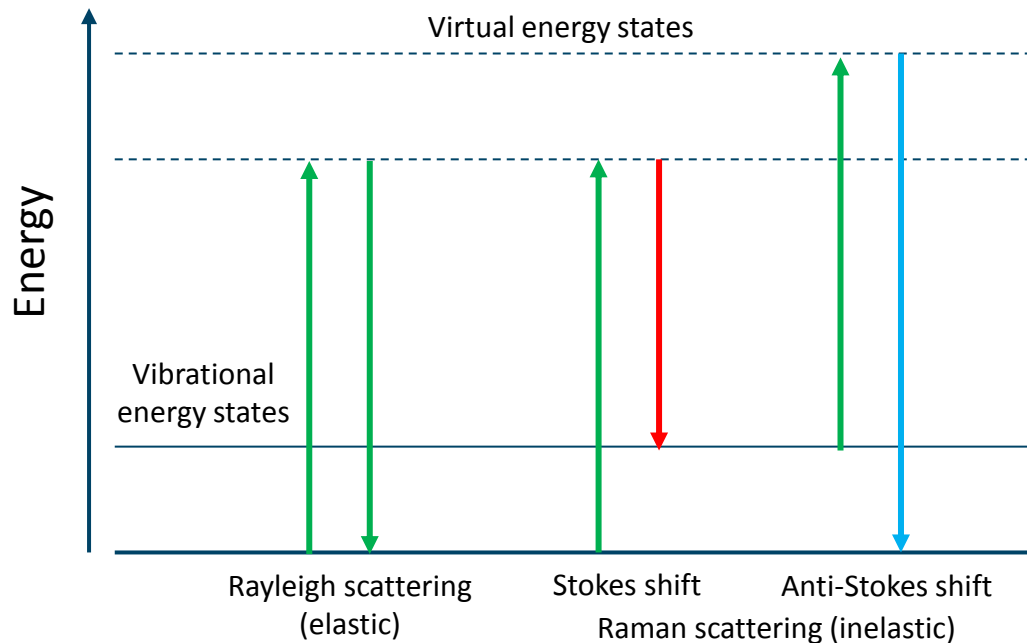


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Introduction: Raman Spectroscopy

- Inelastic scattering of incident monochromatic light through interactions of photons with molecular vibrations and excitations. (similar to IR: absorption of light)
- Chemical identification and structural finger printing of molecules.



*Nature Protocols 11, 664–687 (2016) doi:10.1038/nprot.2016.036

- **Constraints:** Raman scattering is *weak phenomenon*, the number of photons which are Raman scattered is quite small.

Introduction: Surface-Enhanced Raman Spectroscopy (SERS)

- Raman effect: based on interaction between electron cloud of sample and external electric field produced by incident monochromatic light.
- Interaction of incident monochromatic light with noble metals (Ag, Au, Pt) and adsorbed probe molecule give rise to enhanced Raman intensity signal*

- EM or near-field enhancement dependent*

- *Intense near electric fields*

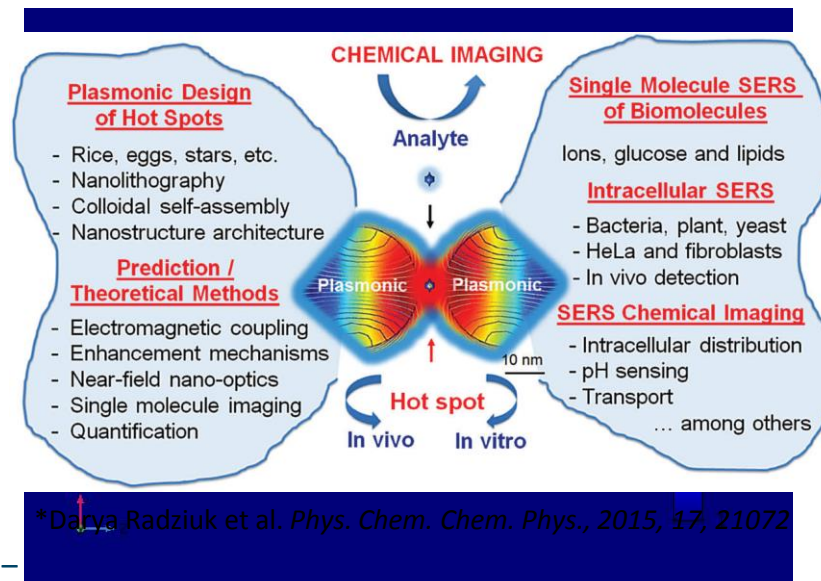
- *Dielectric environment*

- $$EF_{exp} = \frac{[I_{SERS}/N_{SERS}]}{[I_{RS}/N_{RS}]}$$

$$EF_{calc} = \left| \frac{E}{E_0} \right|^4$$

N – number of molecules probed/illuminated by the laser spot, I –

RS, SERS : Raman spectra and Surface-Enhanced Raman spectra



* Chemical Physics Letters, 26, 163-166 (1974), ²Journal of Electroanal. Chem. and Interface Electrochem., 84, 1-20 (1977),
 * J. Am. Chem. Soc., 99, 5215-5217 (1977).

COMSOL near-electric field simulations: How and Why?

- Wave Optics physics in wavelength domain study
- Maxwell's Electromagnetic wave equations are solved for scattered fields

$$\nabla \times \left[\frac{1}{\mu_r} (\nabla \times E_{sca}) \right] - K_0^2 \left[(\epsilon_r - \frac{j\sigma}{\omega\epsilon_0}) \right] E_{sca} = 0$$

where E_{sca} – scattered electric field

K_0 - wavenumber in free space

μ_r - relative permeability of medium

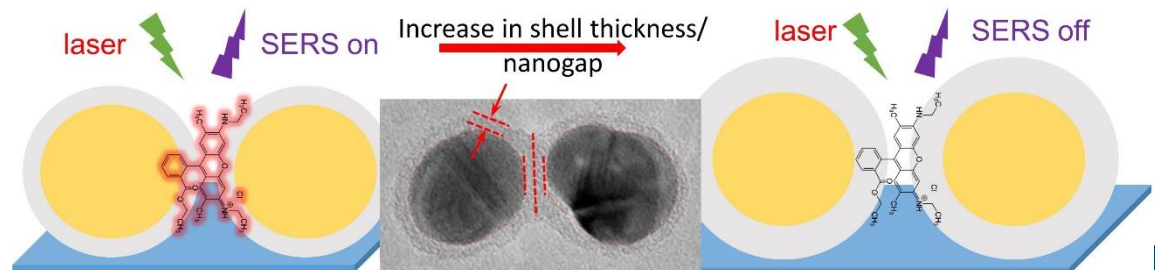
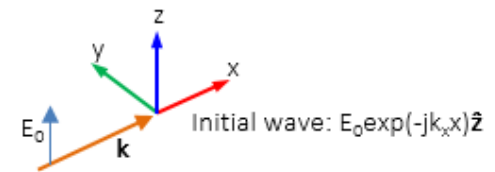
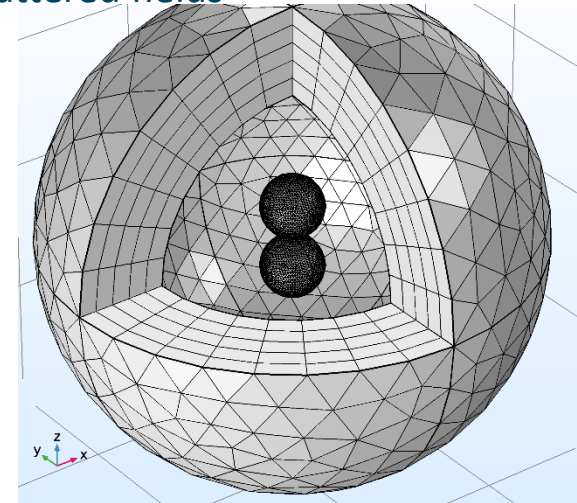
ϵ_r – permittivity of medium

- Enhancement is due to both incident and scattered fields.
- EM or field enhancement $|E/E_0|$ is dependent:

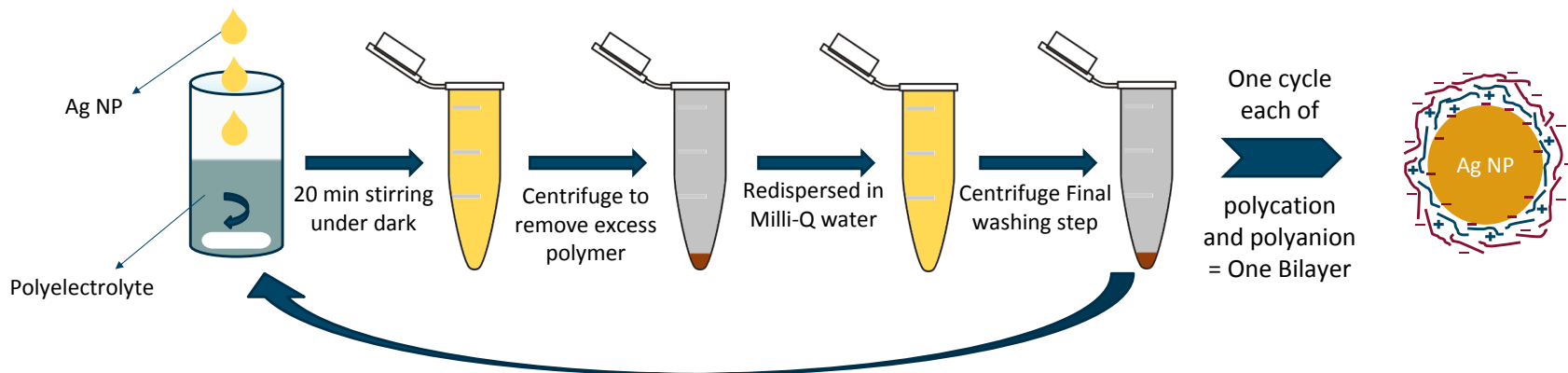
- Inter-particle distance & probe molecule distance dependence: **nanogap**

- laser excitation wavelength

- NP shape and size

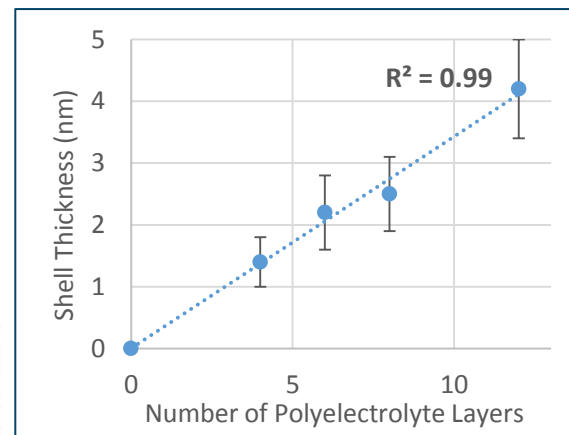
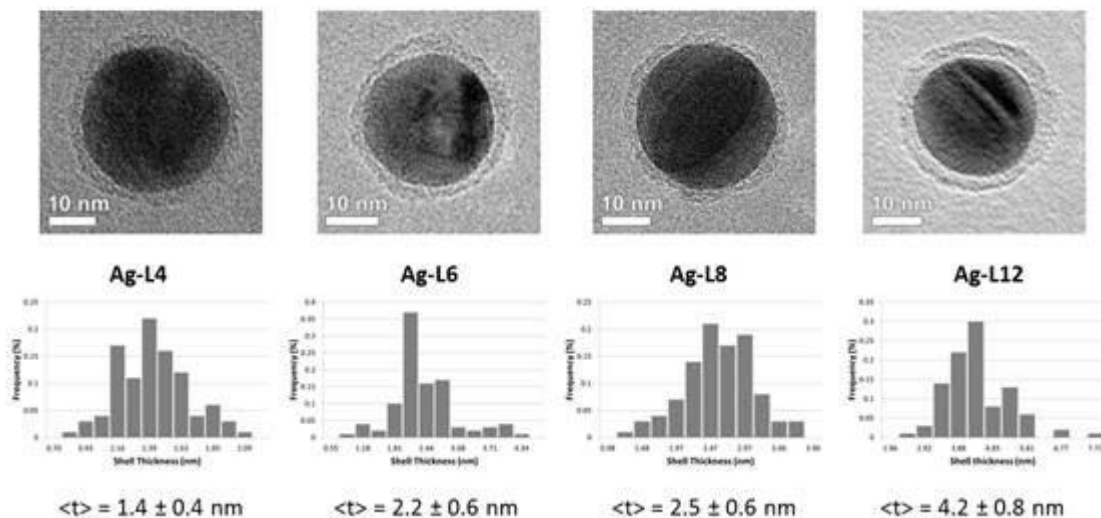


Nanogap control via LBL (Layer-by-Layer) method using charged polyelectrolytes

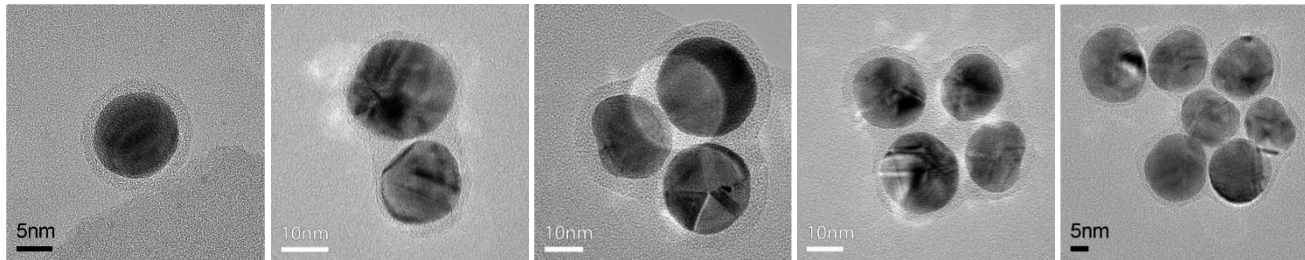


Polymer shell thickness Increase with number of layers

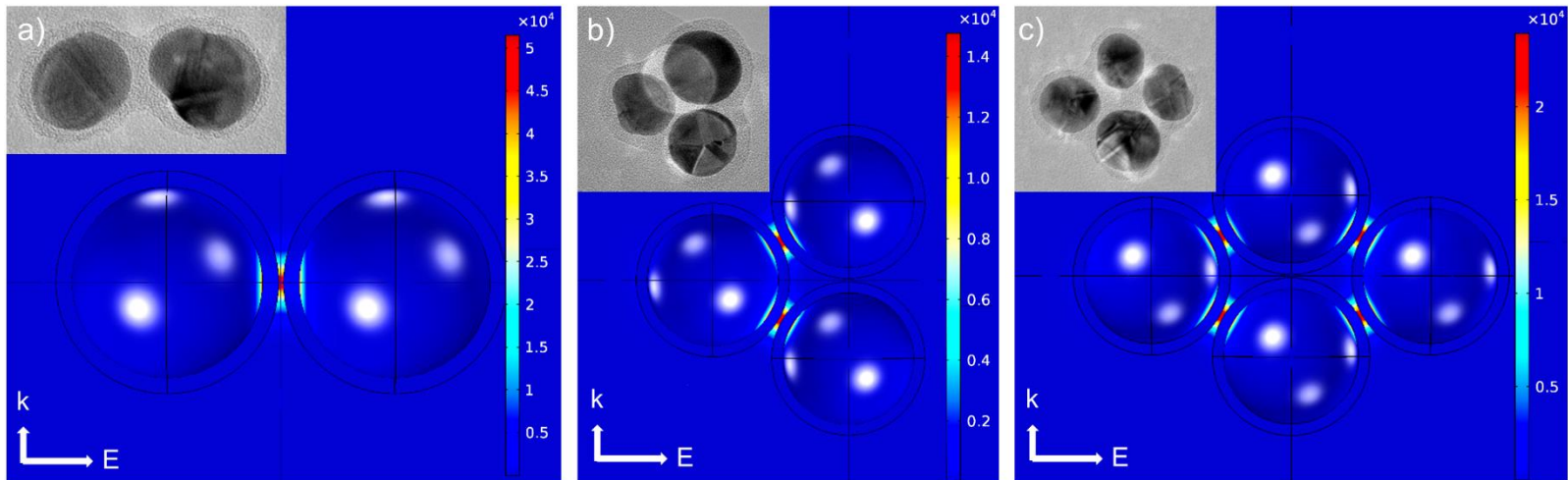
Size distributions of the polymer shell thickness (100 measurements) for the different samples:



Hotspots in the nanoparticle clusters: Quantification for SERS



- Wet chemical methods → no control over alignment of nanoparticles: single, dimer, trimer, tetramer clusters

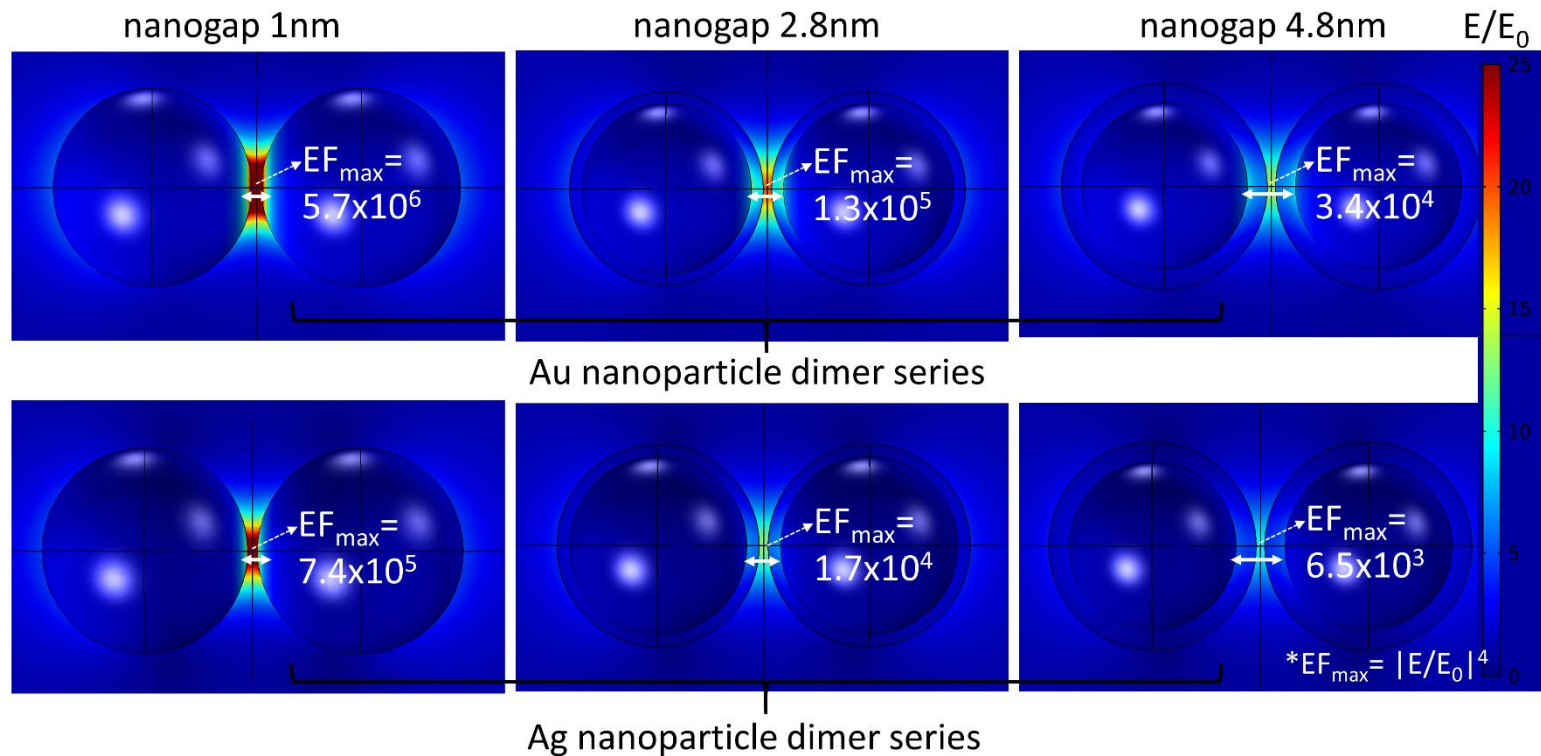


- Simplification of the models and EF calculations by assuming dimers representing nanocluster systems.

SERS hot spots: gap/distance dependence theoretical analysis

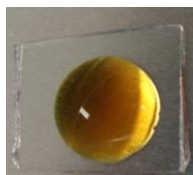
Study with core-shell nanoparticles:

- Using polymer as the spacer layer for increasing the gap/distance of interface between nanoparticles

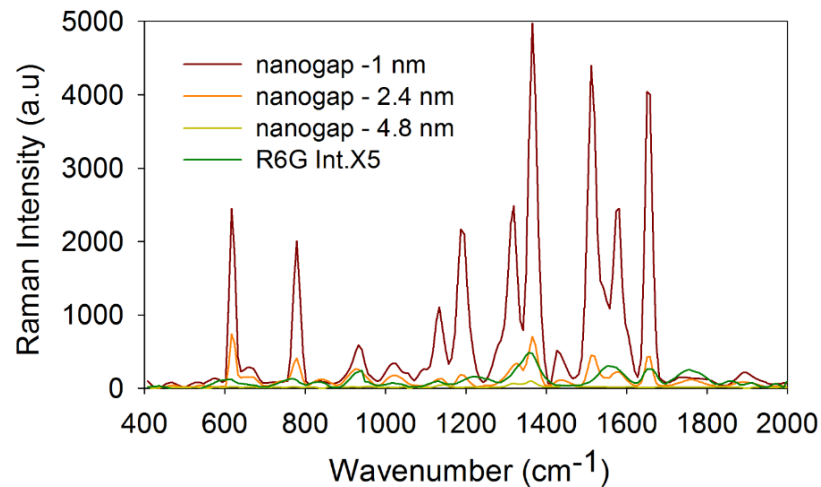
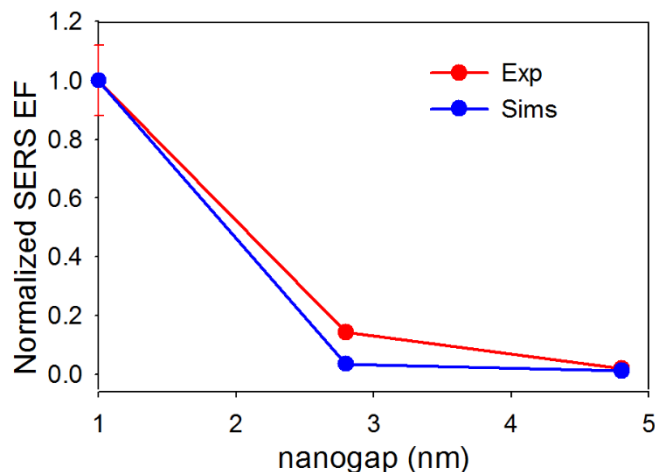


Experimental vs Theoretical (COMSOL) EF comparison

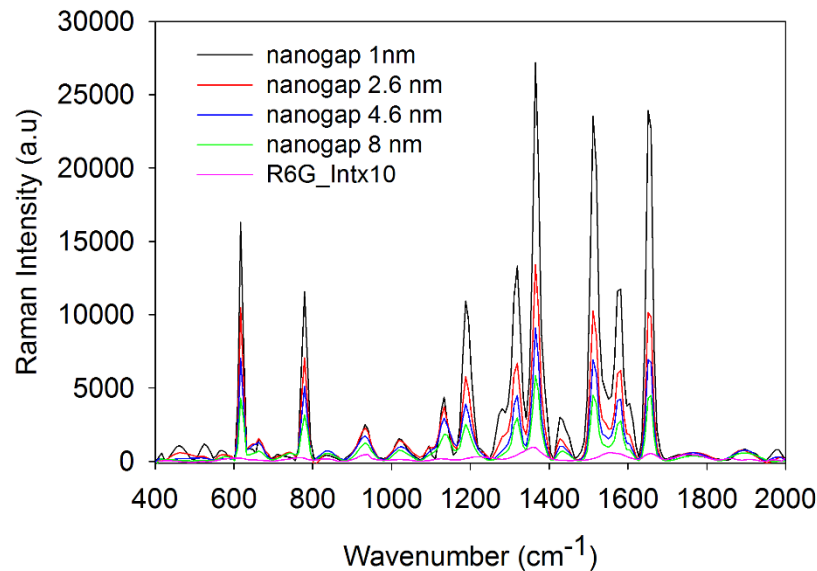
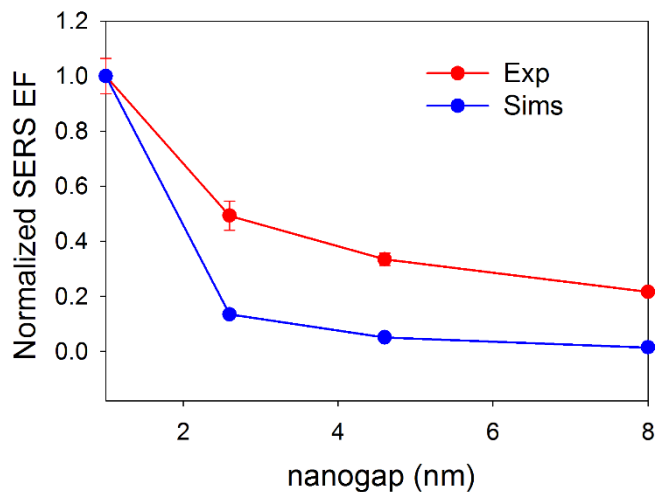
➤ Validation of COMSOL EF calculations for Au and Ag silver nano-dimers with experimental SERS signal.



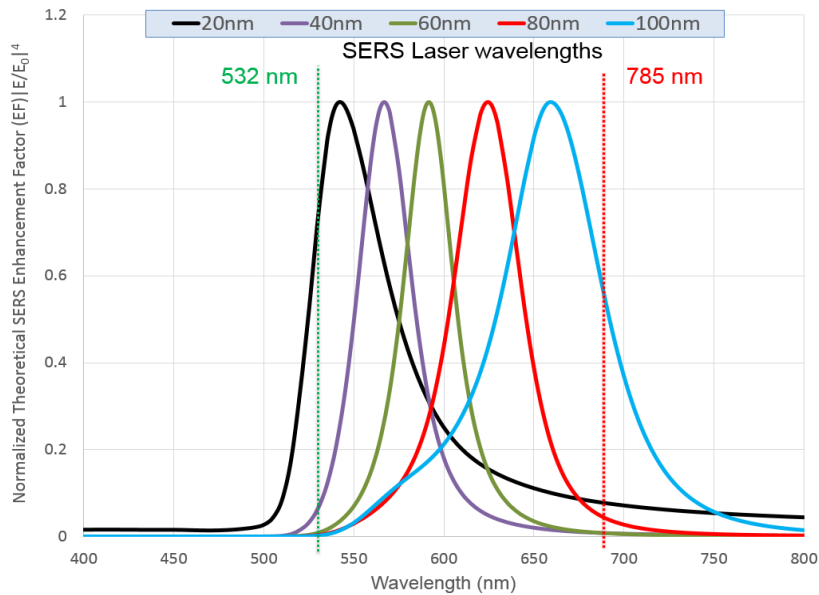
Silver NPs



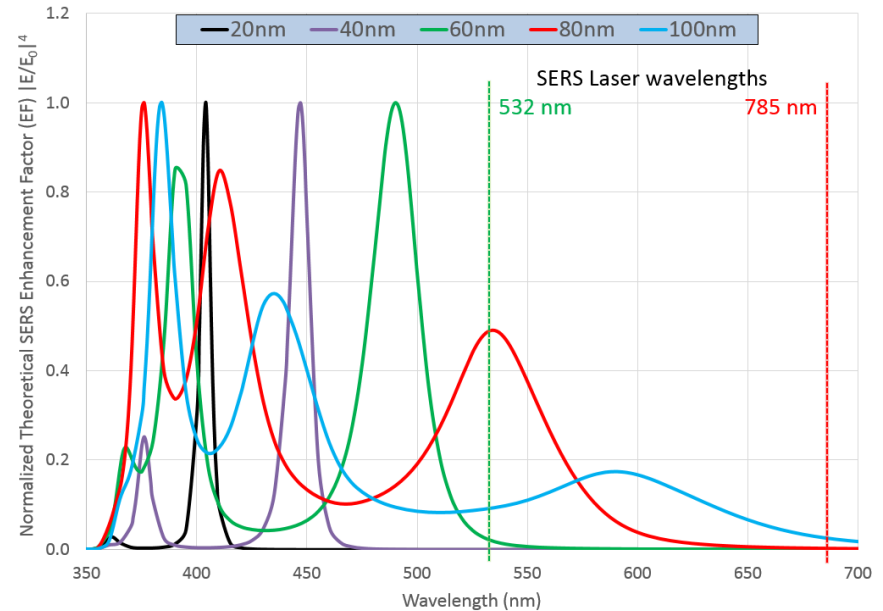
Gold NPs



SERS: Plasmonic nanoparticle size dependence

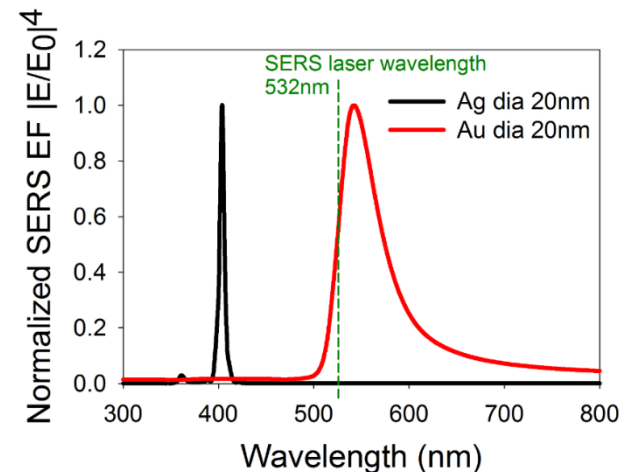


Gold nanoparticles: EF vs particle size dia.



silver nanoparticles: EF vs particle size dia.

- COMSOL EF simulations: crucial information $\lambda_{spr}(\max)$
- Essential to check the overlapping of plasmon resonance with Raman laser excitation wavelength
- Achieve maximum possible enhancement factor

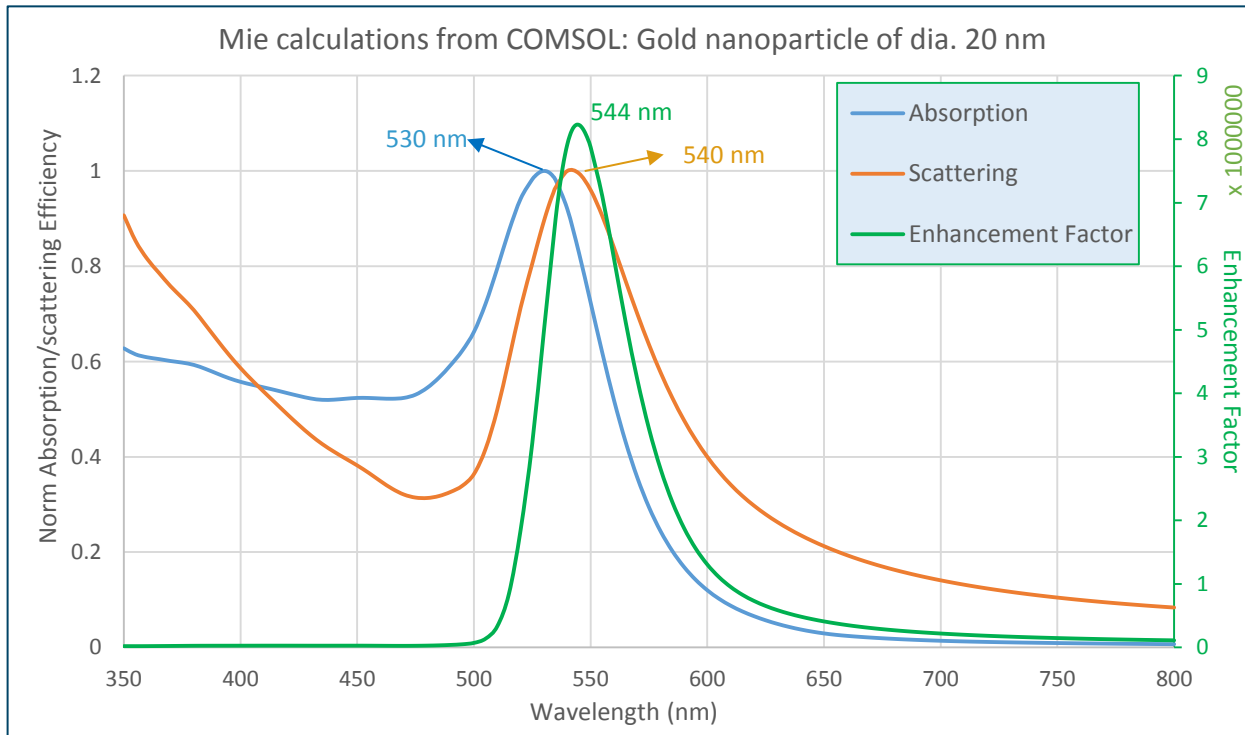


Maximum attainable EF: Absorption vs scattering by plasmonic nanoparticles

- Maximum EF → very high enhanced Raman signal → single molecule detection (parts per trillion levels)
- The plasmon resonance should be slightly red-shifted from Raman laser wavelength to maximize signal*
- Mie calculations in COMSOL by implementing Mie equations to plot absorption and scattering efficiencies:

$$W_{abs} = \iiint_{NP} Q_{loss} dV, \quad W_{sca} = \iint_A S_{sca} \cdot ndA$$

$$C_{abs} = \iiint \frac{ewfd \cdot Q_h}{\frac{E^2_0}{2 * Z0_const}} \quad C_{sca} = \iint \frac{(n_x * ewfd \cdot relPoav_x + n_y * ewfd \cdot relPoav_y + n_z * ewfd \cdot relPoav_z)}{\frac{E^2_0}{2 * Z0_const}}$$





Conclusion:

- *FEM simulations can provide crucial insights: from synthesis, design and application perspective*
- *Study the effect of medium and design of nanoparticle plasmonic system for SERS applications*
- *COMSOL Multiphysics, a vital mechanistic tool : plasmonic nanoparticles viability for hotspot applications*

Thanks for your attention

